

1. Name of Listed Entity:GNA Axles Limited
2. Scrip Code/Name of Scrip/Class of Security: GNA
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or encumbered otherwise (XIII)		Number of equity shares held in dematerialized form (XIV)
			As a % of (A+B+C2)	No of Voting Rights			Total as a % of (A+B+C)	Warrants (X)	As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)				
				Class eg: X	Class eg: y	Total											
A	Promoter & Promoter	14	1413690	0	0	1413690	65.86	1413690	0	1413690	65.86	4293100	30.37	132350	9.36	14136901	

	Group									01					0			
B	Public	21811	7328499	0	0	732849	34.14	7328499	0	7328499	34.14	0	34.14		0.00			7328497
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Total	21825	2146540	0	0	21465400	100.00	21465400	0	21465400	100.00	0	100.00	4293100	20.00	1323500	6.17	21465398

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding %, as a % assuming full conversion of convertible securities ((VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
1. Indian Individuals/Hindu undivided Family		14	14136901	0	0	14136901	65.86	14136901	0	14136901	65.86	0	65.86	4293100	30.37	1323500	9.36	14136901
LOVELEEN KAUR	AAV PK7893G	1	1750	0	0	1750	0.01	1750	0	1750	0.01	0	0.01	0	0.00	0	0.00	1750
ASHMEET SEEHRA	CTLP S6072C	1	7151	0	0	7151	0.03	7151	0	7151	0.03	0	0.03	0	0.00	0	0.00	7151
MANJOT SEEHRA	EXSP S2694D	1	140	0	0	140	0.00	140	0	140	0.00	0	0.00	0	0.00	0	0.00	140
MANINDER SINGH	ABO PS6803J	1	2440300	0	0	2440300	11.37	2440300	0	2440300	11.37	0	11.37	0	0.00	0	0.00	2440300
GURDEEP SINGH	ABO PS6805Q	1	2656900	0	0	2656900	12.38	2656900	0	2656900	12.38	0	12.38	1073300	40.40	305000	11.48	2656900
RACHHPAL SINGH	AIZP S6470M	1	502400	0	0	502400	2.34	502400	0	502400	2.34	0	2.34	0	0.00	350000	69.67	502400

	KULWIN SEEHRA	BDIP S8417 F	1	21275 0	0	0	212750	0.99	21275 0	0	212 750	0.99	0	0.99	0	0.00	0	0.00	212750
	KEERAT SEEHRA	DKFP S5927 G	1	21250 0	0	0	212500	0.99	21250 0	0	212 500	0.99	0	0.99	0	0.00	0	0.00	212500
	HARJINDER KAUR	AAV PK78 96D	1	14150 0	0	0	141500	0.66	14150 0	0	141 500	0.66	0	0.66	0	0.00	0	0.00	141500
	JASLEEN KAUR	AAV PK99 68L	1	1204	0	0	1204	0.01	1204	0	120 4	0.01	0	0.01	0	0.00	0	0.00	1204
	JASVINDER SINGH	AJKP S0269 E	1	30204 00	0	0	302040	14.07	30204 00	0	302 040 0	14.07	0	14.07	2146 500	71.0 7	300 000	9.93	3020400
	GURSARAN SINGH	ABQ PS07 00E	1	17148 86	0	0	171488 6	7.99	17148 86	0	171 488 6	7.99	0	7.99	0	0.00	635 00	3.70	1714886
	RANBIR SINGH	ABQ PS06 92H	1	26935 20	0	0	269352 0	12.55	26935 20	0	269 352 0	12.55	0	12.55	1073 300	39.8 5	305 000	11.32	2693520
	MOHINDER KAUR	AAU PK75 96F	1	53150 0	0	0	531500	2.48	53150 0	0	531 500	2.48	0	2.48	0	0.00	0	0.00	531500
b	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
d	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(1)		14	14136 901	0	0	141369 01	65.86	14136 901	0	141 369 01	65.86	0	65.86	4293 100	30.3 7	132 350 0	9.36	14136901

2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		14	141360	0	0	141369	65.86	141360	141369	65.86	0	65.86	4293100	30.37	1323500	9.36	14136901	

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
a	Mutual Funds/		5	2020295	0	0	2020295	9.41	2020295	2020295	9.41	0	9.41	0	0.00			2020295	

										5								
	SUNDARAM MUTUAL FUND	AAA TS25 54B	1	477380	0	0	477380	2.22	477380	0	477380	2.22		2.22		0.00		477380
	HDFC MUTUAL FUND	AAA TH18 09A	1	1136509	0	0	1136509	5.29	1136509	0	1136509	5.29		5.29		0.00		1136509
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
c	Alternate Investment Funds		2	880000	0	0	880000	4.10	880000	0	880000	4.10	0	4.10		0.00		880000
	SUNDARAM ALTERNATIVE OPPORTUNITIES FUND	AAQ AS91 68Q	1	714000	0	0	714000	3.33	714000	0	714000	3.33		3.33		0.00		714000
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
e	Foreign Portfolio Investors		4	135226	0	0	135226	0.63	135226	0	135226	0.63	0	0.63		0.00		135226
f	Financial Institutions/ Banks		2	12873	0	0	12873	0.06	12873	0	12873	0.06	0	0.06		0.00		12873
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00		0
	Sub-Total (B)(1)		13	3048394	0	0	3048394	14.20	3048394	0	3048394	14.20	0	14.20	0	0.00		3048394

											4								
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -		20229	318920	16	0	318921	14.85	318920	16	318921	14.85	0	14.85	0	0.00	0	0.00	3189214
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		20224	283404	1	0	283404	13.20	283404	1	283404	13.20	0	13.20		0.00			2834039
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	355175	5	0	355175	1.65	355175	5	355175	1.65	0	1.65		0.00			355175
b	NBFCs registered with RBI		2	250	0	0	250	0.00	250	0	250	0.00	0	0.00		0.00			250
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0

e	Any Other (specify)	1567	1090639	0	0	1090639	5.08	1090639	0	1090639	5.08	0	5.08		0.00		1090639
	Foreign Nationals	1	1225	0	0	1225	0.01	1225	0	1225	0.01		0.01		0.00		1225
	HUF	638	144955	0	0	144955	0.68	144955	0	144955	0.68		0.68		0.00		144955
	Non-Resident Indian (NRI)	531	193713	0	0	193713	0.90	193713	0	193713	0.90		0.90		0.00		193713
	Clearing member	139	89702	0	0	89702	0.42	89702	0	89702	0.42		0.42		0.00		89702
	Bodies Corporate	258	661044	0	0	661044	3.08	661044	0	661044	3.08	0	3.08		0.00		661044
	Sub-Total (B)(3)	21798	4280105	0	0	4280105	19.93	4280105	0	4280105	19.93	0	19.93	0	0.00		4280103
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	21811	7328499	0	0	7328499	34.13	7328499	0	7328499	34.13	0	34.13	0	0.00		7328497

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %